



Practitioner's Docket No. CAPTNE/P002A1

09/761,499

DAC
PATENT # 2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Patent application

JUN 07 2001

of Ontiveros, Mark; Nadler, Michael H.

OFFICE OF PETITIONS

for METHOD AND DEVICE FOR MONITORING DATA TRAFFIC AND
PREVENTING UNAUTHORIZED ACCESS TO A NETWORK

Commissioner for Patents
Washington, D.C. 20231

PETITION TO SECURE FILING DATE AS OF MAILING DATE VIA EXPRESS MAIL

PETITION

1. Applicants petition that this application be accorded the filing date on which the papers were sent "Express Mail Post Office to Addressee" mailing label No. EL234123066US on January 16, 2001.

SUBMISSIONS

2. Submitted herewith is:

A copy of the executed Express Mail certificate and mailing label number certifying that the completed application and other documents were mailed on January 16, 2001;

{P0052000:1}

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

FACSIMILE

- ☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Date: 5/29/01

Signature

William P. Smith, Registration No. 34,931

(type or print name of person certifying)

05/07/2001 GTEFFERA 00000049 500287 09761499

01 FC:122 130.00 CH

(Petition to Secure Filing Date as of Mailing Date via Express Mail--page 1 of 2)

A copy of the corresponding U.S. Post Office receipt establishing the deposit of the Express Mail on January 16, 2001;

A copy of the postcard forwarded with the application; and

A copy of the Patent Application Transmittal Letter (Small Entity) which includes the Express Mail mailing label number.

PETITION FEE

4. The petition fee (37 C.F.R. section 1.17(h)) is paid as follows:

Charge Account No. 500287 the sum of \$130.00



REQUEST FOR REFUND OF PETITION FEE

5. Because no defect exists in applicant's previous submission, a refund of the petition fee submitted herewith is respectfully requested.

Date:

May 29, 2001

William P. Smith

William P. Smith
Registration No. 34,931
Doepkin Keevican & Weiss
USX Tower - 58th Floor
600 Grant Street
Pittsburgh, PA 15219
412-355-2600



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JUN 07 2001

OFFICE OF PETITIONS

Docket No. CAPTNE/P002A1

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: MARK ONTIVEROS
MICHAEL H. NADLER

Serial No.: Not Yet Assigned
Filed: Concurrently Herewith
For: "METHOD AND DEVICE FOR MONITORING DATA
TRAFFIC AND PREVENTING UNAUTHORIZED ACCESS TO
A NETWORK"

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EL234123006US
Date of Deposit January 16, 2001

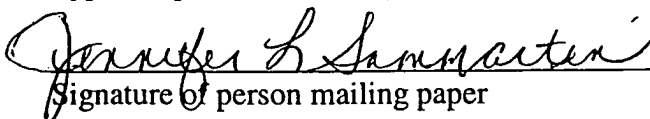
I hereby state the following papers are attached hereto:

Patent Application Transmittal Letter (Small Entity) (1 page), U.S. Patent Application entitled "METHOD AND DEVICE FOR MONITORING DATA TRAFFIC AND PREVENTING UNAUTHORIZED ACCESS TO A NETWORK", comprising a specification (17 pages), claims (3 pages), Abstract (1 page), informal drawings (9 sheets), Declaration and Power of Attorney (3 pages), Recordation Form Cover Sheet (1 page), Assignment (2 pages), Statement Under 37 CFR 3.73(b) (1 page), Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i) (1 page) and Statement Claiming Small Entity Status (37 CFR 1.9(f) & 1.27(c))--Small Business Concern (1 page).

which are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Jennifer L. Sammartin

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EL234123066US



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Date In 1/16/01	<input checked="" type="checkbox"/> 12 Noon <input type="checkbox"/> 3 PM	Postage \$ 16.00
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FROM: (PLEASE PRINT) Raymond J. Hanuth, Esq. (1205) DOEPKEN KEEVICAN & WEISS 800 GRANT ST FL 58 USX TOWER PITTSBURGH PA 15219	TO: (PLEASE PRINT) Patent Application Assistant Commissioner for Patents Washington, D.C. 20231
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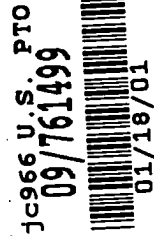
OFFICE OF PETITIONS

FOR:
U.S. PATENT APPLICATION
SERIAL NO.: Not yet assigned
FILED: Concurrently Herewith
TITLE: "METHOD AND DEVICE FOR MONITORING DATA TRAFFIC AND PREVENTING
UNAUTHORIZED ACCESS TO A NETWORK"
APPLICANTS: MARK ONTIVEROS and MICHAEL H. NADLER
ATTY'S FILE NO.: CAPTNE/P002A1

[The stamp of the U.S. Patent and Trademark Office herein will be taken
as acknowledging the receipt on the date stamped of the following:]

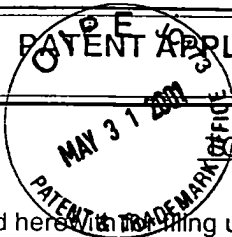
TRANSMITTAL TYPE: PATENT APPLICATION
TRANSMITTAL DATED: January 16, 2001
TRANSMITTED BY: U.S. Post Office "Express Mail Post Office to Addressee"
Bearing Express Mail Label No.: EL234123066US specifically comprising:

Patent Application Transmittal Letter (Small Entity) (1 page); U.S. Patent Application entitled
"METHOD AND DEVICE FOR MONITORING DATA TRAFFIC AND PREVENTING
UNAUTHORIZED ACCESS TO A NETWORK", comprising a specification (17 pages), claims (3
pages), Abstract (1 page), informal drawings (9 sheets), Declaration and Power of Attorney (3 pages),
Recordation Form Cover Sheet (1 page), Assignment (2 pages), Statement Under 37 CFR 3.73(b), (1
page), Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i) (1 page), Statement Claiming Small
Entity Status (37 CFR 1.9(f) & 1.27(c))--Small Business Concern (1 page), Fee: \$423.00 for the filing
fee by the aforesaid authorization to charge Deposit Account No. 500287, and Fee: \$40.00 for the
recordation fee by the aforesaid authorization to charge Deposit Account No. 500287.



PATENT APPLICATION TRANSMITTAL LETTER
(Small Entity)

Docket No.
CAPTNE/P002A1



TO THE ASSISTANT COMMISSIONER FOR PATENTS

Transmitted herewith in accordance with 35 U.S.C. 111 and 37 C.F.R. 1.53 is the patent application of:

MARK ONTIVEROS and MICHAEL H. NADLER

For:

"Method and Device for Monitoring Data Traffic and Preventing Unauthorized Access to a Network"

Enclosed are:

- ☒ Certificate of Mailing with Express Mail Mailing Label No. EL234123066US
- ☒ 9 sheets of drawings.
- ☐ A certified copy of a application.
- ☒ Declaration ☒ Signed. ☐ Unsigned.
- ☒ Power of Attorney
- ☐ Information Disclosure Statement
- ☐ Preliminary Amendment
- ☐ Verified Statement(s) to Establish Small Entity Status Under 37 C.F.R. 1.9 and 1.27.
- ☒ Other: See additional page

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OFFICE OF PETITIONS

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	20	- 20 =	0	x \$9.00	\$0.00
Indep. Claims	5	- 3 =	2	x \$39.00	\$78.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$345.00
TOTAL FILING FEE					\$423.00

- ☐ A check in the amount of to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 500287 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$423.00 as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: January 16, 2001


Signature

cc:



Docket No. CAPTNE/P002A1

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: MARK ONTIVEROS
MICHAEL H. NADLER

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JUN 07 2001

Serial No.: Not Yet Assigned
Filed: Concurrently Herewith
For:

OFFICE OF PETITIONS

"METHOD AND DEVICE FOR MONITORING DATA
TRAFFIC AND PREVENTING UNAUTHORIZED ACCESS TO
A NETWORK"

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

**ADDITIONAL PAGE FOR PATENT APPLICATION TRANSMITTAL LETTER
(Small Entity)**

"Express Mail Label number EL234123066US
Date of Deposit: January 16, 2001

1. Recordation Form Cover Sheet (1 page);
2. Assignment (2 pages);
3. Statement Under 37 CFR 3.73(b) (1 page);
4. Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i) (1 page); and
5. Statement Claiming Small Entity Status (37 CFR 1.9(f) & 1.27(c)) -- Small Business Concern (1 page).